

OREGON STATE UNIVERSITY

ACADEMIC APPOINTMENTS GUIDELINES

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TABLE OF CONTENTS

Section	Page
I. OSU Policies and Standards Governing Academic Appointments.....	1
II. Professorial Ranks	1
A. Deans, Vice Presidents, and President	
B. Assistant Professor, Associate Professor, and Professor	
C. Fixed-Term Faculty Eligible for Professorial Ranks	
D. Faculty in Positions Without Expectation for Scholarly Accomplishment	
E. Definitions	
F. Faculty in Administrative Positions	
III. Other Faculty Ranks	3
A. Use of Other Ranks	
B. Tenure-Track Instructor and Promotion to Senior Instructor I and Senior Instructor II	
C. Research Associates, Research Associates (Post Doc), Clinical Faculty and Professor of Practice Faculty	
D. Academic Credentials for Research-Related Ranks (other than professorial)	
IV. Tenure-Track and Fixed-Term Appointments.....	4
A. Tenure-Track Appointments	
B. Tenure Commitment for Less Than 1.0 FTE	
C. Fixed-Term Appointments	
V. Professional Titles With or Without Rank.....	6
A. Job Titles	
B. Designated Rank of Faculty in Academic, Administrative, or Student Support With Professorial Rank	
C. Types of Faculty Positions in Academic, Administrative, and Student Support	
VI. Promotion.....	6
VII. Exceptions.....	6
VIII. Human Resources and Equity and Inclusion	6

I. POLICIES AND STANDARDS GOVERNING ACADEMIC APPOINTMENTS

Academic appointments at Oregon State University are governed by OSU Policies and Standards that define the conditions by which faculty members (“unclassified academic employees”) may be appointed.

The OSU Standard 580-020-0005 separates academic ranks into two categories: graduate rank (Graduate Research Assistant, Graduate Teaching Assistant and Fellow) and faculty rank (Instructor, Senior Instructor I, Senior Instructor II, Research Assistant, Research Associate, Lecturer, Assistant Professor, Associate Professor, and Professor). The OSU Standard further notes that “Academic rank is assigned to staff members in the unclassified academic service whether the type of service is teaching, research, extension, administration, or other service.” The OSU Standards do not require assignment of rank to all unclassified employees.

*Clarification – Oregon State University further qualifies research assistant positions by the use of **Faculty Research Assistant, Senior Faculty Research Assistant I and Senior Faculty Research Assistant II ranks.***

OSU Standard 580-020-0006 allows for faculty to be appointed with “No Rank.” This facilitates the appointment of professional faculty in academic support, student support, and administrative support positions with professional titles, with or without academic rank. A series of professional titles reflecting responsibilities (Section V) provide opportunities for greater clarity as well as appropriate recognition and promotion for many professionals in these units.

II. PROFESSORIAL RANKS

A. Deans, Vice Presidents, and President

As mandated by OSU Standard 580-020-0005(4), Deans, Vice Presidents, and the President shall have the academic rank of Professor.

B. Assistant Professor, Associate Professor, and Professor

Professorial ranks (Assistant Professor, Associate Professor, and Professor) will be limited at Oregon State University to:

1. teaching-related positions with an expectation for scholarly accomplishment;
2. professorial-level appointments (including Senior Research positions) whose principal responsibilities are related to scholarly research; and
3. librarians whose positions carry an expectation for scholarly accomplishment.

Professorial ranks may be used for courtesy and affiliate faculty appointments, as appropriate. Refer to the university’s policies on Courtesy and Affiliate Faculty Appointments.

C. Fixed-Term Faculty Eligible for Professorial Ranks

Professorial ranks will be available for faculty members on Extension, Senior Research, Clinical, or Practice appointments. Such appointments are for fixed-term faculty engaged in extension, research, clinical or professor of practice, and with a scholarship expectation at a level normally appropriate for a professorial rank. Ranks for these appointments are Assistant Professor, Associate Professor, and Professor. At Oregon State University, these faculty members are commonly identified as:

Assistant Professor (Extension)
Associate Professor (Extension)
Professor (Extension)

For these ranks:

- Position description must include at least 15% scholarship.
- Funding must be 50% or more non-recurring funds.
- If more than 50% recurring funds are used, the programming need is to be limited duration (2-6 years).

Assistant Professor (Senior Research)
Associate Professor (Senior Research)
Professor (Senior Research)

For these ranks:

- Funding must be 50% or more grants and contracts funds.

Assistant Professor (Clinical)
Associate Professor (Clinical)
Professor (Clinical)

- Position description must include between 5 and 15% scholarship.
- Additional information is available in the Faculty Handbook.

Assistant Professor (Practice)
Associate Professor (Practice)
Professor (Practice)

- Position description must include between 5 and 15% scholarship.
- Position is to be used only for faculty members whose primary work assignments are in professionally related community education and service. Additional information is available in the Faculty Handbook.

D. Faculty in Positions Without Expectation for Scholarly Accomplishment

Faculty members in positions that do not have an associated expectation for scholarly accomplishment will be appointed with one of the four following designations:

1. professorial rank as mandated by state statute for those in administrative positions (Section IIA);
2. rank of Faculty Research Assistant, Senior Faculty Research Assistant I, Senior Faculty Research Assistant II, or Research Associate for faculty members in research support or research training positions (Section III);
3. rank of Instructor, Instructor (ALS), Instructor (ESL), Instructor (PAC) or Senior Instructor I and Senior Instructor II (Sections III and IV) EXCEPTION: Instructor (Clinical) appointments do carry an expectation for scholarly accomplishment (see Faculty Handbook "Guidelines for Clinical Faculty appointments and Promotion" section II, under the heading Clinical Track Faculty; or
4. professional title without academic rank (Section V).

E. Definitions

1. The designation "teaching-related" includes instruction at the undergraduate and graduate levels; supervision and training of graduate or postdoctoral students and visiting scholars; instruction on campus or off campus; instruction with credit or non-credit courses and programs; instruction associated with domestic or international service; instruction programs for adult or youth learners; continuing education programs for students and professionals working toward degrees, advanced certification, or re-licensing.
2. The term "scholarly accomplishment" is used because the term "research" does not always describe the full range of scholarship typically expected for faculty members in the professorial ranks. Faculty members in the fine arts, for example, normally engage in creative work in theatre, music, performance, or art that constitutes scholarly accomplishment. As described in OSU's Promotion and Tenure Guidelines (<http://oregonstate.edu/admin/aa/faculty-handbook-contents>), "scholarly accomplishment" requires peer-review and dissemination.
3. Instructor (ALS) refers to an instructor appointed to teach courses in the category of Academic Learning Services.

4. Instructor (ESL) refers to an instructor appointed to teach courses designated in the category of English as a Second Language.
5. Instructor (PAC) refers to an instructor appointed to teach courses designated in the category of Physical Activity Courses.

F. Faculty in Administrative Positions

In addition to administrative title, professorial rank may be extended to individuals selected for administrative positions (including in the academic support, student support, and administrative support areas). A decision to extend professorial rank will be based on the individual's academic credentials, record of or current expectations for instructional service, and scholarly activity. The individual may be appointed to professorial rank or the rank of Instructor upon the approval of the Senior Vice Provost for Academic Affairs.

III. OTHER FACULTY RANKS

A. Use of Other Ranks

Other faculty ranks (Instructor, Instructor (ALS), Instructor (ESL), Instructor (PAC), Senior Instructor I, Senior Instructor II, Research Associate, Research Associate (Post Doc), Faculty Research Assistant, Senior Faculty Research Assistant I and Senior Faculty Research Assistant II, and Lecturer) will be used as appropriate to the position assignment. The rank of Instructor or Senior Instructor I and Senior Instructor II will typically be used for faculty in teaching and other academic related positions. See IV. for use of Instructor (ALS), Instructor (ESL), and Instructor (PAC).

B. Tenure-Track Instructor and Promotion to Senior Instructor I and II

1. Tenure-Track Instructor

A tenure-track Instructor position is defined by teaching, advising and other assigned duties as delineated in the position description, and has a focus on a specialized assignment within an academic program. Such positions carry an expectation of at least 15% scholarship as defined in the position description. Faculty in these positions are expected to demonstrate their potential for long-term contribution to the institution. Instructor (ALS), Instructor (ESL) and Instructor (PAC) positions may not be established as tenure-track position and are not eligible for promotion to Senior Instructor rank.

To establish an Instructor position as tenure-track, a department/unit must obtain written approval from the Dean and Provost by demonstrating that such designation is in the best interests of the department/unit, college and university. Tenure-track Instructor positions require a minimum of a Master's Degree. A national search will generally be required. Conversion of a fixed-term Instructor position to tenure-track will also generally require a national search.

The Promotion and Tenure Process and Guidelines govern the promotion and tenure of tenure-track Instructors. This implies that a tenure-track Instructor, under normal circumstances, will be considered for tenure in their sixth year of service. By the end of the sixth year, a tenure-track Instructor must be granted indefinite tenure or be given a year's timely notice that the appointment will not be renewed.

If a department/unit did not define the Instructor position as tenure-track at the time of hire, the Instructor is not eligible for indefinite tenure status without conversion of the position to tenure-track.

Instructors in tenure-track positions who have extended prior service as fixed-term Instructors may be eligible for promotion and tenure after three years of tenure-track status.

2. Promotion to Senior Instructor I and Senior Instructor II

This rank may be used for the promotion of faculty members who have special skills or experience needed in the academic program of the institution, but who would not normally be appointed or promoted to the professorial ranks. For fixed-term Instructors with extended prior service, promotion to the rank of Senior Instructor I cannot be made effective before the end of the third year of service. Promotion to the rank of Senior Instructor II may be considered after four years of full-time service at the rank of Senior Instructor I.

Promotion to the rank of Senior Instructor I or Senior Instructor II is not tied to the tenure decision for tenure-track instructors, and does not have to occur at the same time. Senior Instructor I or Senior Instructor II ranks can only be obtained through promotion, i.e., an individual cannot be hired into a Senior Instructor I or Senior Instructor II position.

C. Research Associate, Research Associate (Post Doc), Clinical Faculty and Professor of Practice Faculty

Research Associate, Research Associate (Post Doc), Clinical Faculty and Professor of Practice Faculty positions may not be converted to professorial ranks. Such a change requires the establishment of a new position and open search, or submittal of a Request for Waiver of Search form with an attached letter of justification. Approvals of these appointments are based on the nature of the position, its intended duration and responsibilities, and the incumbent's record of scholarly accomplishment and responsibilities. The appropriate Dean or Vice President and Director of Equity and Inclusion must approve the Request for Waiver of Search.

Promotion to Associate Professor (Senior Research, Clinical, Extension or Practice) and Professor (Senior Research Clinical, Extension or Practice) requires the customary university promotion review.

D. Academic Credentials for Research Related Ranks (other than professorial)

1. Research Associate and Research Associate (Post Doctorate): a PhD is required.
2. Faculty Research Assistants, Senior Faculty Research Assistants I and Senior Faculty Research Assistant II: a minimum of a Bachelor's Degree is required. Senior Faculty Research Assistant I and II ranks can only be obtained through promotion, i.e., an individual cannot be hired into a Senior Faculty Research Assistant I or II position.

IV. TENURE-TRACK AND FIXED-TERM APPOINTMENTS

A. Tenure-Track Appointments

Faculty members appointed to positions that carry professorial rank as described in Section II B, and are 0.5 FTE or more are generally tenure-track appointments unless their positions are clearly temporary in nature.

Tenure-track positions are used for faculty members in Extension and the library at professorial ranks, and for administrative faculty who meet the expectations of professorial ranks as described in Section II. Fixed-term positions are used for all other faculty members as described in Section C below.

B. Tenure Commitment for Less Than 1.0 FTE

Some tenure-related positions may carry less than a 1.0 FTE tenure commitment following the granting of indefinite tenure. These part-time, tenured positions must be 0.50 FTE or greater.

C. Fixed-Term Appointments

1. Fixed-term positions are used for:
 - a. faculty members who do not hold professorial ranks;
 - b. faculty members who hold professorial rank but are appointed at less than 0.5 FTE;
 - c. faculty members who hold professorial rank in one of the following appointments:
 - Assistant, Associate, or Full Professor (Extension);
 - Assistant, Associate, or Full Professor (Senior Research);
 - Assistant, Associate, or Full Professor (Clinical);
 - Assistant, Associate, or Full Professor (Practice)

- d. faculty members performing academic support, student support, or administrative support functions unless the position has an expectation for scholarly accomplishment at a level typically expected of faculty in professorial ranks in academic departments; or
 - e. appointments that are temporary, regardless of rank. Positions established with non-recurring funds are defined as temporary, unless there is reasonable assurance of long-term continued support. Appointments associated with temporary assignments, such as a visiting professor or a sabbatical leave replacement, are considered temporary.
 - f. fixed-term appointments for Assistant, Associate or Full Professors will not be extended beyond two years without the approval of the Senior Vice Provost for Academic Affairs. If approved, such an appointment can be extended to a third year. Additional one-year extensions may be approved if the need for the appointment can be justified; in no case will this type of appointment be extended beyond a total of six years. Conversion of a fixed-term Assistant Professor position to a tenure-track position will require a regular search. *(This policy assumes the use of consecutive one-year fixed-term appointments, not multiple year fixed-term appointments.)*
2. The rank of Instructor, Senior Instructor I or Senior Instructor II may be used for either fixed-term or tenure-related (as described in Section III above) positions when it would be in the best interests of the university. The ranks of Instructor (ALS), Instructor (ESL) or Instructor (PAC) must only be used for fixed-term, non-promotional appointments.

Length and Types of Fixed-Term Appointments

1. Regular Fixed-Term Appointments

Appointment shall be for an appropriate fixed-term period, typically one year or less with renewal at the college/unit hiring authority's discretion.

2. Extended Fixed-Term Appointments

An extended fixed-term appointment has a term of up to two years and, with administrative approval, may be extended for one additional year at the start of each appointment year. The funding source(s) for an extended contract must provide a reasonable expectation that position funding will be available for the duration of the contract (e.g. education and general funds).

These appointments are generally available to the following academic ranks that have passed the first promotion in rank; are appointed at a minimum of 0.75 FTE; have been in the position at least three (3) years; and have made significant contributions to the university over a sustained period:

- Senior Instructor I;
- Senior Instructor II;
- Associate and Full Professor (Extension);
- Associate and Full Professor (Senior Research);
- Associate and Full Professor (Clinical);
- Associate and Full Professor (Practice);
- Senior Faculty Research Assistant I; and
- Senior Faculty Research Assistant II

Extended fixed-term appointments with rank require the approval of the respective Dean or Vice President and the Senior Vice Provost for Academic Affairs.

Extended fixed-term appointments may be available to heads of major administrative units upon the approval of the respective Dean, Vice President or Vice Provost and the Provost.

3. Visiting Appointments

This appointment is used for faculty visiting from other institutions of higher education or the private sector and are paid by the university. Initial appointments shall be for an appropriate fixed-term period but typically one-year with renewal at the college/unit hiring authority's discretion.

V. PROFESSIONAL TITLES WITH OR WITHOUT RANK

A. Job Titles

Descriptive job titles may be assigned to professional or administrative faculty members at Oregon State University in academic support, administrative support, and student support units.

These titles offer an alternative to appointment at faculty rank for fixed-term positions where, in the view of the unit administrator and appropriate vice president, a professional position title most adequately describes the responsibilities of the position and qualifications of the individuals holding those positions. Use of professional titles may also provide alternative opportunities for promotion.

B. Designated Rank of Faculty in Academic, Administrative, or Student Support With Professorial Rank

Faculty members in academic, administrative, or student support units that hold positions at a professorial rank prior to July 1, 1987, will continue to hold their designated rank. In addition, they will be eligible for subsequent promotion in academic rank according to the University's Promotion and Tenure Guidelines in the professorial ranks.

C. Types of Faculty Positions in Academic, Administrative, and Student Support

Faculty positions in academic support, student support, and administrative support units will be of two types:

1. those with professional job titles (Professional Faculty); or
2. those with professorial rank in addition to a professional job title. For individuals with a record of or expectation for scholarship at the level typically expected of faculty in academic units, professorial rank may be assigned. The Senior Vice Provost for Academic Affairs must approve the assignment of academic rank.

VI. PROMOTION

Refer to the Faculty Handbook website: <http://oregonstate.edu/facultystaff/handbook/>

- A. Procedures for promotion and tenure of all Oregon State University academic faculty will follow the Promotion and Tenure Guidelines.
- B. Faculty members with academic rank will be evaluated for promotion according to guidelines and standards associated with such ranks. Promotions will be considered without regard to fixed-term or tenure-track status.
- C. When a professional title change is warranted as a result of internal reorganization, a search is not necessary. If, for example, the title of one administrator has been changed and there are corresponding changes in titles of individuals reporting to that person, a search would not be required. Under these situations, it is assumed that the responsibilities of those involved are essentially unchanged. On the other hand, when there is a vacancy for a position, when a more senior position is being created within an office, or when a position's responsibilities are being significantly redefined, a search would be expected. Requests for exceptions to the requirement for a search should be directed to the Office of Equity and Inclusion.

VII. EXCEPTIONS

Upon recommendation of the faculty member's Dean or Vice President, the Senior Vice Provost for Academic Affairs may consider exceptions to the guidelines above on an individual basis.

VIII. HUMAN RESOURCES and EQUITY AND INCLUSION

The Offices of Human Resources and/or Equity and Inclusion will be consulted on all appointments before the Senior Vice Provost for Academic Affairs makes a final determination. Permanent retention of faculty personnel files are administered and retained by the Office of Human Resources.